

(D) The following certification statement by such authorized account representative or alternate authorized account representative: “I agree that any electronic submission to the Administrator that is made by an agent identified in this notice of delegation and of a type listed for such agent in this notice of delegation and that is made when I am an authorized account representative or alternate authorized representative, as appropriate, and before this notice of delegation is superseded by another notice of delegation under 40 CFR 97.620(c)(5)(iv) shall be deemed to be an electronic submission by me.”; and

(E) The following certification statement by such authorized account representative or alternate authorized account representative: “Until this notice of delegation is superseded by another notice of delegation under 40 CFR 97.620(c)(5)(iv), I agree to maintain an e-mail account and to notify the Administrator immediately of any change in my e-mail address unless all delegation of authority by me under 40 CFR 97.620(c)(5) is terminated.”.

(iv) A notice of delegation submitted under paragraph (c)(5)(iii) of this section shall be effective, with regard to the authorized account representative or alternate authorized account representative identified in such notice, upon receipt of such notice by the Administrator and until receipt by the Administrator of a superseding notice of delegation submitted by such authorized account representative or alternate authorized account representative, as appropriate. The superseding notice of delegation may replace any previously identified agent, add a new agent, or eliminate entirely any delegation of authority.

(v) Any electronic submission covered by the certification in paragraph (c)(5)(iii)(D) of this section and made in accordance with a notice of delegation effective under paragraph (c)(5)(iv) of this section shall be deemed to be an electronic submission by the designated representative or alternate designated representative submitting such notice of delegation.

(6) Closing a general account. (i) The authorized account representative or alternate authorized account rep-

resentative of a general account may submit to the Administrator a request to close the account. Such request shall include a correctly submitted TR SO<sub>2</sub> Group 1 allowance transfer under § 97.622 for any TR SO<sub>2</sub> Group 1 allowances in the account to one or more other Allowance Management System accounts.

(ii) If a general account has no TR SO<sub>2</sub> Group 1 allowance transfers to or from the account for a 12-month period or longer and does not contain any TR SO<sub>2</sub> Group 1 allowances, the Administrator may notify the authorized account representative for the account that the account will be closed after 30 days after the notice is sent. The account will be closed after the 30-day period unless, before the end of the 30-day period, the Administrator receives a correctly submitted TR SO<sub>2</sub> Group 1 allowance transfer under § 97.622 to the account or a statement submitted by the authorized account representative or alternate authorized account representative demonstrating to the satisfaction of the Administrator good cause as to why the account should not be closed.

(d) *Account identification.* The Administrator will assign a unique identifying number to each account established under paragraph (a), (b), or (c) of this section.

(e) *Responsibilities of authorized account representative and alternate authorized account representative.* After the establishment of a compliance account or general account, the Administrator will accept or act on a submission pertaining to the account, including, but not limited to, submissions concerning the deduction or transfer of TR SO<sub>2</sub> Group 1 allowances in the account, only if the submission has been made, signed, and certified in accordance with §§ 97.614(a) and 97.618 or paragraphs (c)(2)(ii) and (c)(5) of this section.

**§ 97.621 Recordation of TR SO<sub>2</sub> Group 1 allowance allocations and auction results.**

(a) By November 7, 2011, the Administrator will record in each TR SO<sub>2</sub> Group 1 source’s compliance account the TR SO<sub>2</sub> Group 1 allowances allocated to the TR SO<sub>2</sub> Group 1 units at

the source in accordance with § 97.611(a) for the control period in 2012.

(b) By November 7, 2011, the Administrator will record in each TR SO<sub>2</sub> Group 1 source's compliance account the TR SO<sub>2</sub> Group 1 allowances allocated to the TR SO<sub>2</sub> Group 1 units at the source in accordance with § 97.611(a) for the control period in 2013, unless the State in which the source is located notifies the Administrator in writing by October 17, 2011 of the State's intent to submit to the Administrator a complete SIP revision by April 1, 2012 meeting the requirements of § 52.39(d)(1) through (4) of this chapter.

(1) If, by April 1, 2012, the State does not submit to the Administrator such complete SIP revision, the Administrator will record by April 15, 2012 in each TR SO<sub>2</sub> Group 1 source's compliance account the TR SO<sub>2</sub> Group 1 allowances allocated to the TR SO<sub>2</sub> Group 1 units at the source in accordance with § 97.611(a) for the control period in 2013.

(2) If the State submits to the Administrator by April 1, 2012, and the Administrator approves by October 1, 2012, such complete SIP revision, the Administrator will record by October 1, 2012 in each TR SO<sub>2</sub> Group 1 source's compliance account the TR SO<sub>2</sub> Group 1 allowances allocated to the TR SO<sub>2</sub> Group 1 units at the source as provided in such approved, complete SIP revision for the control period in 2013.

(3) If the State submits to the Administrator by April 1, 2012, and the Administrator does not approve by October 1, 2012, such complete SIP revision, the Administrator will record by October 1, 2012 in each TR SO<sub>2</sub> Group 1 source's compliance account the TR SO<sub>2</sub> Group 1 allowances allocated to the TR SO<sub>2</sub> Group 1 units at the source in accordance with § 97.611(a) for the control period in 2013.

(c) By July 1, 2013, the Administrator will record in each TR SO<sub>2</sub> Group 1 source's compliance account the TR SO<sub>2</sub> Group 1 allowances allocated to the TR SO<sub>2</sub> Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO<sub>2</sub> Group 1 allowances auctioned to TR SO<sub>2</sub> Group 1 units, in accordance with § 97.611(a), or with a SIP revision ap-

proved under § 52.39(e) or (f) of this chapter, for the control period in 2014 and 2015.

(d) By July 1, 2014, the Administrator will record in each TR SO<sub>2</sub> Group 1 source's compliance account the TR SO<sub>2</sub> Group 1 allowances allocated to the TR SO<sub>2</sub> Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO<sub>2</sub> Group 1 allowances auctioned to TR SO<sub>2</sub> Group 1 units, in accordance with § 97.611(a), or with a SIP revision approved under § 52.39(e) or (f) of this chapter, for the control period in 2016 and 2017.

(e) By July 1, 2015, the Administrator will record in each TR SO<sub>2</sub> Group 1 source's compliance account the TR SO<sub>2</sub> Group 1 allowances allocated to the TR SO<sub>2</sub> Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO<sub>2</sub> Group 1 allowances auctioned to TR SO<sub>2</sub> Group 1 units, in accordance with § 97.611(a), or with a SIP revision approved under § 52.39(e) or (f) of this chapter, for the control period in 2018 and 2019.

(f) By July 1, 2016 and July 1 of each year thereafter, the Administrator will record in each TR SO<sub>2</sub> Group 1 source's compliance account the TR SO<sub>2</sub> Group 1 allowances allocated to the TR SO<sub>2</sub> Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO<sub>2</sub> Group 1 allowances auctioned to TR SO<sub>2</sub> Group 1 units, in accordance with § 97.611(a), or with a SIP revision approved under § 52.39(e) and (f) of this chapter, for the control period in the fourth year after the year of the applicable recordation deadline under this paragraph.

(g) By August 1, 2012 and August 1 of each year thereafter, the Administrator will record in each TR SO<sub>2</sub> Group 1 source's compliance account the TR SO<sub>2</sub> Group 1 allowances allocated to the TR SO<sub>2</sub> Group 1 units at the source, or in each appropriate Allowance Management System account the TR SO<sub>2</sub> Group 1 allowances auctioned to TR SO<sub>2</sub> Group 1 units, in accordance with § 97.612(a)(2) through (8) and (12), or with a SIP revision approved under § 52.39(e) and (f) of this chapter, for the control period in the

year of the applicable recordation deadline under this paragraph.

(h) By August 1, 2012 and August 1 of each year thereafter, the Administrator will record in each TR SO<sub>2</sub> Group 1 source's compliance account the TR SO<sub>2</sub> Group 1 allowances allocated to the TR SO<sub>2</sub> Group 1 units at the source in accordance with § 97.612(b)(2) through (8) and (12) for the control period in the year of the applicable recordation deadline under this paragraph.

(i) By February 15, 2013 and February 15 of each year thereafter, the Administrator will record in each TR SO<sub>2</sub> Group 1 source's compliance account the TR SO<sub>2</sub> Group 1 allowances allocated to the TR SO<sub>2</sub> Group 1 units at the source in accordance with § 97.612(a)(9) through (12), for the control period in the year before the year of the applicable recordation deadline under this paragraph.

(j) By the date on which any allocation or auction results, other than an allocation or auction results described in paragraphs (a) through (i) of this section, of TR SO<sub>2</sub> Group 1 allowances to a recipient is made by or are submitted to the Administrator in accordance with § 97.611 or § 97.612 or with a SIP revision approved under § 52.39(e) or (f) of this chapter, the Administrator will record such allocation or auction results in the appropriate Allowance Management System account.

(k) When recording the allocation or auction of TR SO<sub>2</sub> Group 1 allowances to a TR SO<sub>2</sub> Group 1 unit or other entity in an Allowance Management System account, the Administrator will assign each TR SO<sub>2</sub> Group 1 allowance a unique identification number that will include digits identifying the year of the control period for which the TR SO<sub>2</sub> Group 1 allowance is allocated or auctioned.

**§ 97.622 Submission of TR SO<sub>2</sub> Group 1 allowance transfers.**

(a) An authorized account representative seeking recordation of a TR SO<sub>2</sub> Group 1 allowance transfer shall submit the transfer to the Administrator.

(b) A TR SO<sub>2</sub> Group 1 allowance transfer shall be correctly submitted if:

(1) The transfer includes the following elements, in a format prescribed by the Administrator:

(i) The account numbers established by the Administrator for both the transferor and transferee accounts;

(ii) The serial number of each TR SO<sub>2</sub> Group 1 allowance that is in the transferor account and is to be transferred; and

(iii) The name and signature of the authorized account representative of the transferor account and the date signed; and

(2) When the Administrator attempts to record the transfer, the transferor account includes each TR SO<sub>2</sub> Group 1 allowance identified by serial number in the transfer.

**§ 97.623 Recordation of TR SO<sub>2</sub> Group 1 allowance transfers.**

(a) Within 5 business days (except as provided in paragraph (b) of this section) of receiving a TR SO<sub>2</sub> Group 1 allowance transfer that is correctly submitted under § 97.622, the Administrator will record a TR SO<sub>2</sub> Group 1 allowance transfer by moving each TR SO<sub>2</sub> Group 1 allowance from the transferor account to the transferee account as specified in the transfer.

(b) A TR SO<sub>2</sub> Group 1 allowance transfer to or from a compliance account that is submitted for recordation after the allowance transfer deadline for a control period and that includes any TR SO<sub>2</sub> Group 1 allowances allocated for any control period before such allowance transfer deadline will not be recorded until after the Administrator completes the deductions from such compliance account under § 97.624 for the control period immediately before such allowance transfer deadline.

(c) Where a TR SO<sub>2</sub> Group 1 allowance transfer is not correctly submitted under § 97.622, the Administrator will not record such transfer.

(d) Within 5 business days of recordation of a TR SO<sub>2</sub> Group 1 allowance transfer under paragraphs (a) and (b) of the section, the Administrator will notify the authorized account representatives of both the transferor and transferee accounts.

(e) Within 10 business days of receipt of a TR SO<sub>2</sub> Group 1 allowance transfer that is not correctly submitted under